Quantum energy inequalities in the thermal sector

Leonardo Sangaletti Joint work with: D. Cadamuro, H. Bostelmann

ITP- University of Leipzig

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When classical fields are quantised (QFT), the necessity to avoid divergences in the definition of the energy density (Wick ordering), turns out to be incompatible with the positiveness request (Fewster [2012\)](#page-59-1),(Epstein et al. [1965\)](#page-59-2).

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 \implies Necessity to find lower bounds on the expectation value of the (time averaged) quantised version of the energy density.

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In the real scalar case $(P\phi=(\Box+m^2)\phi=0)$, the fundamental object is the abstract $*$ -a**lgebra** $\mathcal A$, polynomially generated by the smeared fields $\phi(f), f \in \mathcal C^\infty_0(\mathbb M)$, that satisfy:

- linearity, $\phi(\lambda f + g) = \lambda \phi(f) + \phi(g), \lambda \in \mathbb{C}$.
- hermiticity, $\phi(f)^* = \phi(\overline{f}).$
- weak solution of field equation, $\phi(PF) = 0$.
- **•** commutation relations, $[\phi(f), \phi(g)] = i\Delta(f, g)$ 1.

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States ω are positive, normalised linear functionals over A.

Quantum field theories at finite temperature can be studied with different approaches (Matsubara formalism (Matsubara [1955\)](#page-60-0), Real time formalism (Keldysh [1964\)](#page-59-3), Thermo field dynamics (Umezawa et al. [1982\)](#page-60-1)).

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Definition

A state ω^β satisfies the KMS condition with respect to the time evolution τ_t if:

$$
\omega^{\beta}((\tau_t A)B)=\omega^{\beta}(B(\tau_{t+i\beta}A)),
$$

and the function $z\in\mathbb{C}\to\omega^\beta(B\tau_z(A))$ is analytic inside the strip $\Im z\in[0,\beta]$ and continuous on the border.

Do QEIs hold for a scalar real massive free field in the thermal representation?

 \implies We expect symmetry between the **particles** (excitations of the thermal bath) and the holes (de-excitations of the thermal bath).

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- We construct the representation induced by the KMS state (purification procedure).
- We identify therein the energy density operator.
- \bullet We study the expectation value of this operator in this representation. \Longrightarrow necessity to introduce mathematical tools as m<mark>odular theory</mark> and non-commutative L^p spaces.

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The GNS representation induced by ω^β is the Fock representation over the symmetrised Fock space \mathcal{F}^s (purification procedure):

$$
\mathcal{F}^s(L^2\oplus L^2)\simeq \mathcal{F}^s(L^2)\otimes \mathcal{F}^s(L^2),
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where $\mathcal{F}^s(L^2)$ is the usual bosonic Fock space over the Hilbert space of L^2 functions on the mass hyperboloid.

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Real valued test functions are mapped into the Hilbert space via the map K :

$$
K(f)(\textbf{k})=\frac{\overline{\hat{f}|_{H_{m}^{+}}(\textbf{k})}}{\sqrt{e^{\beta\omega_{\textbf{k}}}-1}}\oplus\frac{\hat{f}|_{H_{m}^{+}}(\textbf{k})}{\sqrt{1-e^{-\beta\omega_{\textbf{k}}}}}=:\mathscr{B}_{\textbf{k}}^{-}\overline{\hat{f}|_{H_{m}^{+}}}(\textbf{k})\oplus\mathscr{B}_{\textbf{k}}^{+}\hat{f}|_{H_{m}^{+}}(\textbf{k}).
$$

Thermal representation

We get explicit expression for the smeared field $\phi(f)$ (and for its commuting $\tilde{\phi}(g)$) in terms of the usual particles (and holes) creation and annihilation "operators" $b^{\#}$ ($a^{\#}$):

$$
[a_{\mathbf{k}}, a_{\mathbf{p}}^{\dagger}] = (2\pi)^3 \delta^3(\mathbf{p} - \mathbf{k}); \quad [b_{\mathbf{k}}, b_{\mathbf{p}}^{\dagger}] = (2\pi)^3 \delta^3(\mathbf{p} - \mathbf{k});
$$

$$
\phi(x) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{\sqrt{2\omega_{\mathbf{k}}}} \left[\mathcal{B}_{\mathbf{k}}^- a_{\mathbf{k}} e^{ikx} + \mathcal{B}_{\mathbf{k}}^- a_{\mathbf{k}}^{\dagger} e^{-ikx} + \mathcal{B}_{\mathbf{k}}^+ b_{\mathbf{k}} e^{-ikx} + \mathcal{B}_{\mathbf{k}}^+ b_{\mathbf{k}}^{\dagger} e^{ikx} \right];
$$

$$
\tilde{\phi}(y) = \phi(y)|_{a \leftrightarrow b, a^{\dagger} \leftrightarrow b^{\dagger}}; \quad [\phi(x), \tilde{\phi}(y)] \equiv 0.
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In addition, the generator of time evolution : \hat{H} : (thermal Hamiltonian or Liouvillian) and its space density $:\widehat{T_{00}}(x)$ are given by:

$$
\hat{H} = \int \frac{d^3 p}{(2\pi)^3} \omega_p \left(b_p^{\dagger} b_p - a_p^{\dagger} a_p \right); \quad : \widehat{T}_{00} : (x) = :T_{00} : (x) - :\widetilde{T}_{00} : (x),
$$

where (and accordingly for $:\tilde{T}_{00}:(x)$):

$$
:T_{00} \cdot \hspace{-0.05cm} = \hspace{-0.05cm} \frac{1}{2} \hspace{-0.05cm} : \hspace{-0.05cm} (\partial_0 \phi)^2 \cdot \hspace{-0.05cm} + \hspace{-0.05cm} \frac{1}{2} \sum_{i=1}^3 \hspace{-0.05cm} : \hspace{-0.05cm} (\partial_i \phi)^2 \cdot \hspace{-0.05cm} + \hspace{-0.05cm} \frac{1}{2} m^2 \hspace{-0.05cm} : \hspace{-0.05cm} (\phi)^2 \hspace{-0.05cm} : \hspace{-0.05cm} .
$$

Energy density quantum inequalities

Study the expectation value of : \widehat{T}_{00} : $(f) = T_{00}$: $(f) - T_{00}$: (f) , i.e. the Liouvillian density smeared in space *and* time with a positive test function $f \in C_0^{\infty}(\mathbb{M})$:

$$
\left(\Psi,\widetilde{T_{00}}:(f)\Psi\right),\;\;\text{with}\;\;\Psi\in\mathcal{F}^s(L^2)\otimes\mathcal{F}^s(L^2), (\Psi,\Psi)=N^2_\Psi.
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$$

• State independent QEI for the term : T_{00} :(f) (analogous to (Fewster [2012\)](#page-59-1), (Fewster and Eveson [1998\)](#page-59-5)). Smearing in time with a test function $|g(t)|^2$ (at ${\mathbf x} = {\mathbf 0}$) we get:

$$
\begin{aligned} \left(\Psi,\H:\mathcal{T}_{00}:(\left|g\right|^2)\Psi\right) & \geq \\ & \qquad -\int_0^\infty \frac{d\omega}{\pi}\int\frac{d^3\mathbf{k}}{(2\pi)^3}\frac{\omega_{\mathbf{k}}}{2}\left[|\hat{g}(\omega+\omega_{\mathbf{k}})|^2(\mathscr{B}^+_{\mathbf{k}})^2+|\hat{g}(\omega-\omega_{\mathbf{k}})|^2(\mathscr{B}^-_{\mathbf{k}})^2\right]N_\psi^2 \end{aligned}
$$

where the integrals are convergent for every $\beta \in \mathbb{R}$ and $g \in C_0^{\infty}(\mathbb{R})$ (**Hadamard property** of the KMS state ω^{β}).

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On the contrary, no state independent bound from below for the expectation value $(\Psi, -\hat{\tau}_{00}(f)\Psi).$

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We look for a state dependent QEI for $-:\tilde{T}_{00}$: (f) . We restrict our attention to the set of vectors $\cal F$, obtained perturbing the vacuum vector Ω (representing the KMS state $\omega^\beta)$ with operators that belong to the ∗-algebra $\pi^\beta(\mathcal{A})$:

$$
\digamma:=\left\{\Psi\in\mathcal{F}^s(L^2)\otimes\mathcal{F}^s(L^2):\Psi=A\Omega, \text{for some}\;\; A\in\pi^\beta(\mathcal{A})\right\}.
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We want to find a stronger norm $||\Psi||_s$ ($||\Psi||_s \geq N_{\Psi}$) for the states $\Psi \in F$ to define a new state dependent inequality for the operator $-:\tilde{T}_{00}:(f)$.

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We want to find a stronger norm $\|\Psi\|_{s}$ ($\|\Psi\|_{s} \geq N_{\Psi}$) for the states $\Psi \in F$ to define a new state dependent inequality for the operator $-\tilde{T}_{00}$: (f) .

Remark: If a state dependent inequality exists for $-:\tilde{T}_{00}:(f)$ in terms of $\|\cdot\|_{s}$, than it extends to the operator $\overline{T_{00}}(f)$.

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 \bullet The antilinear, unbounded, closable Tomita operator S is well defined on the dense subspace $M\Omega$ by:

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Let $S=J\Delta^{1/2}$ be the polar decomposition of $S.$ Δ (modular operator) defines an $\mathsf{automorphism}$ for $\mathcal M$ via the adjoint action of the unitary group $\Delta^{it}, t \in \mathbb R$:

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 \bullet The state defined by the vector Ω (via $ω(·) = (Ω, ·Ω)$) is KMS respect to the modular evolution implemented by $Ad\Delta^{it}(\cdot)$. The opposite is also true.

Noncommutative L^p spaces generalize usual L_p spaces from integration theory (commutative v.N. algebras) to general v.N. algebras. Given M over an Hilbert space $\mathcal H$ with a cyclic and separating vector Ω , we can construct a family $L^p(\mathcal{M}), 1\leq p\leq \infty$ of Banach spaces following different approaches ((Araki and Masuda [1982\)](#page-59-7),(Haagerup [n.d.\)](#page-59-8),(Kosaki [1984\)](#page-60-2)).

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- $L^{\infty}(\mathcal{M}, \Omega) \equiv \mathcal{M}$ and $L^2(\mathcal{M}, \Omega) \simeq \mathcal{H}$ with same norm.
- For all $1 \leq p' \leq p \leq \infty$

 $\mathcal{M}\subseteq L^p(\mathcal{M},\Omega)\subseteq L^{p'}(\mathcal{M},\Omega)\subseteq \mathcal{M}_*$ with $\mathcal M$ dense in each $L^p;$ $||a||_{\infty} \ge ||a||_p \ge ||a||_{p'} \ge ||a||_1, \;\; \forall a \in \mathcal{M}$

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$$

$$
\|a\|_{\infty} \ge \|a\|_p \ge \|a\|_{p'} \ge \|a\|_1, \ \forall a \in \mathcal{M}
$$

For $a \in \mathcal{M}$ (corresponding to $a\Omega \in L^2$), seen as an element of L^4 , it holds:

$$
||a||_4 \coloneqq ||\Delta_{\Omega}^{1/4} a^* a \Omega||^{1/2}.
$$

Remark: $\Delta^{1/4} a^* a \Omega \in V_{\Omega}^{1/4}$, the positive cone defined in (Araki [1974\)](#page-59-9). We have a self contained proof that $\|\cdot\|_4$ defines a norm and $\overline{(\mathcal{M}\Omega,\|\cdot\|_4)}^{\|\cdot\|_4}\subseteq \mathcal{H}.$

We have stated modular theory and non commutative spaces for (v.N.) algebras of bounded operators. We would like to extend this results to more general situations. We want to extend them to unbounded affiliated operators (Bratteli and Robinson [1987\)](#page-59-10):

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Definition: Affiliated operator

A closed densely defined operator A is said to be affiliated to a v.N. algebra M (A nM), if $\mathcal{M}'\mathcal{D}(A) \subseteq \mathcal{D}(A)$ and $Aa' \supseteq a'A$ for all $a' \in \mathcal{M}'$.

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We can prove the following two technical lemma:

Lemma (Bostelmann, Cadamuro, S.)

Let be $A\eta\mathcal{M}$ and $\Omega\in\mathcal{D}(A)$. If $A\Omega\in\mathcal{D}(A^{\ast}),$ A belongs to the non-commutative L^{4} space and we have:

$$
||A||_4^2 = ||\Delta_{\Omega}^{1/4} A^* A \Omega||,
$$

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L ⁴ QEIs

We can now prove the main result of the work, in a general and abstract form:

Theorem (Bostelmann, Cadamuro, S.)

Let be $\tilde{\mathcal{T}}$ symmetric and affiliated with the commutant \mathcal{M}' of the v.N. algebra $\mathcal{M},$ and suppose $\Omega\in\mathcal{D}(\tilde{T})$. Then, for every operator $A\eta\mathcal{M}$ s.t. $\Omega\in\mathcal{D}(A)$, $\Omega\in\mathcal{D}(A^*A)$ and $A\Omega \in \mathcal{D}(\tilde{\mathcal{T}})$, the following inequality is satisfied:

$$
-\left(A\Omega,\,\tilde{T}A\Omega\right)\geq-C\|A\|_{4}^{2},
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where C is the finite positive constant $\mathcal{C} = \|\Delta^{-\frac{1}{4}} \, \tilde{\mathcal{T}} \Omega\|.$

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(Naive) Proof: We have:

$$
\left|\left(A\Omega, \tilde{T} A\Omega\right)\right| = \left|\left(A\Omega, A\tilde{T}\Omega\right)\right| = \left|\left(A^* A\Omega, \tilde{T}\Omega\right)\right| = \left|\left(\Delta^{1/4} A^* A\Omega, \Delta^{-1/4} \tilde{T}\Omega\right)\right|.
$$

Using Cauchy–Schwarz inequality:

$$
\left|\left(A\Omega,\,\tilde{T}A\Omega\right)\right|\leq\left\|\Delta^{1/4}A^*A\Omega\right\|\left\|\Delta^{-1/4}\,\tilde{T}\Omega\right\|=C\|A\|_4^2.
$$

This concludes the proof.

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We directly prove that $-:\! \tilde{T}_{00}:(f)$ (smeared also in time) is affiliated to $\mathcal{M}'.\implies$ We get a trivial state dependent inequality for $-\hat{T}_{00}$:(f):

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The non triviality can be checked via direct examples and descends from the unboundesness of the operator : T_{00} :(f).

- ¹ [Motivation and general framework](#page-1-0)
- 2 [Thermal representation of a scalar field](#page-19-0)
- [Mathematical tools](#page-32-0)
- 4) [Main result:](#page-45-0) L^4 QEIs
- ⁵ [Conclusion and outlook](#page-53-0)

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Possible future outlook: application of the abstract theorem to other situations in which a similar structure in terms operator affiliated to an algebra and to their commutant is manifest (e.g. double Schwarzschild wedge in Kruskal spacetime, entanglement aspects in information theory).

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Let $L_2 \equiv \mathcal{H} = \overline{(\mathcal{M}\Omega, \|\cdot\|_2)}$. On the subspace $\mathcal{M}\Omega$ we can define the map: $\|\cdot\|_4: \quad \mathcal{M}\Omega \to \mathbb{R},$ $a\Omega, a \in \mathcal{M} \mapsto \|a\Omega\|_4 = \|\Delta^{1/4}a^*a\Omega\|_2^{1/2}.$

The map $\|\cdot\|_4$ is a well defined function (Ω separating) and is a norm. Subadditivity follows from the inequality:

$$
\left(\Delta^{1/4} b^* \mathsf{a} \Omega, \Delta^{1/4} b^* \mathsf{a} \Omega\right) \leq \|\Delta^{1/4} \mathsf{a}^* \mathsf{a} \Omega\|_2 \|\Delta^{1/4} b^* \mathsf{b} \Omega\|_2 = \| \mathsf{a} \Omega\|_4^2 \| \mathsf{b} \Omega\|_4^2.
$$

Let us define the inclusion map:

$$
\iota: \quad (\mathcal{M}\Omega, \|\cdot\|_4) \to (\mathcal{L}^2, \|\cdot\|_2) \\ \mathsf{a}\Omega \mapsto \mathsf{a}\Omega.
$$

It is a continuous inclusion:

$$
\|\iota(a\Omega)\|_2 = (a\Omega, a\Omega)^{1/2} = (a^* a\Omega, \Omega)^{1/2} = (\Delta^{1/4} a^* a\Omega, \Omega)^{1/2} \leq \|\Delta^{1/4} a^* a\Omega\|_2^{1/2} = \|a\Omega\|_4.
$$

We can consider the closure $L_4 := \overline{(M\Omega, \|\cdot\|_4)}$ and the extension (by continuity) of the inclusion:

$$
\hat{\iota}: L_4 \to L_2
$$

$$
\psi = L_4 - \lim_{n \to \infty} \psi_n, \ \psi_n \in M\Omega \mapsto \hat{\iota}(\psi) := L^2 - \lim_{n \to \infty} \iota(\psi_n) = L^2 - \lim_{n \to \infty} \psi_n.
$$

Is $\hat{\iota}$ injective?

We can prove:

Proposition

Let be a_n Ω a Cauchy sequence in L₄. Then, the sequence of vectors $\Delta^{1/4}$ a $_{n}^{*}$ a_n Ω is Cauchy in L_2 .

We now want to prove that, if $a_n\Omega \to 0$ in L_2 and $a_n\Omega$ is Cauchy in L_4 , then $\Psi = L_4 - \lim_{n \to \infty} a_n \Omega = 0$. By the proposition, this is equivalent to show that $\Psi' = L_2 - \lim \Delta^{1/4} a_n^* a_n \Omega = 0.$

We show that the vector ψ' is orthogonal to the dense set of vectors generated acting on Ω with $\tilde{\mathcal{M}}\subset \mathcal{M}'$, the subset of analytic elements:

$$
|(b'\Omega, \Psi')| = \lim_{n \to \infty} |(b'\Omega, \Delta^{1/4} a_n^* a_n \Omega)|
$$

=
$$
\lim_{n \to \infty} |(a_n \Delta^{1/4} b' \Delta^{-1/4} \Omega, a_n \Omega)|
$$

=
$$
\lim_{n \to \infty} |(\Delta^{1/4} b' \Delta^{-1/4} a_n \Omega, a_n \Omega)|
$$

$$
\leq \lim_{n \to \infty} ||\Delta^{1/4} b' \Delta^{-1/4}||_{op} ||a_n \Omega||^2 = 0.
$$

This concludes the proof.